

Form 2

**SATRIX INVESTMENT PLAN – LUMP SUM ADDITIONAL INVESTMENT
APPLICATION FORM**

To be completed by clients who already have Satrix Investment Plan accounts and who wish to make additional lump sum investments.

Please complete this application form and submit by fax to 011 388 8558 / 021 413 1940 or E-mail Satrix@aospartner.com. Please also submit your proof of payment . Should you have any queries concerning this application form, please contact our Call Centre on: 086 1100 670 (International Dialling: +27 11 561 6890).

1. COMMUNICATION FROM THE SATRIX INVESTMENT PLAN

Please send communication (including quarterly statement) via: Email Post

(Please note, where no preference is indicated, email will be used for communication by Automated Outsourcing Services (Pty) Ltd ("the Administrator"))

2. INVESTOR DETAILS

Satrix Investment Plan Client No.:

Title: First Names:

Surname / Legal Entity Name:

Home Tel No.: Office Tel. No.:

Fax No.: Cellular No.:

E-mail Address:

3. INVESTMENT DETAILS

Source of Funds: Salary Policy Donation Gift Savings Investment Inheritance

Other (Please specify):

LUMP SUM INVESTMENT

Total lump sum investment amount (minimum single investment: R1000 per security) R

Split over each security as follows:

Satrix 40:	<input type="text"/>	Satrix INDI:	<input type="text"/>
Satrix FINI:	<input type="text"/>	Satrix SWIX:	<input type="text"/>
Satrix RESI:	<input type="text"/>	Satrix DIVI:	<input type="text"/>
Satrix RAFI**:	<input type="text"/>		

Distribution Instruction*: Re-invest Pay out

*NB: All distributions less than R100.00, per portfolio, will automatically be re-invested.

****All income in the Satrix RAFI 40, which is a total return fund, is automatically reinvested in the portfolio on the date of receipt by the asset manager.**

Electronic internet transfers may take up to 2 days to appear in our bank account. Securities may only be traded upon confirmed receipt of documentation and funds are reflected in the relevant bank account. Please include your proof of payment with this application form (deposit slip; electronic payment confirmation). Please note that as per banking legislation, cheque deposits will need to undergo a clearing period of 10 calendar days within the Rand Monetary Area, and 15 calendar days outside the Rand Monetary Area (ie: Lesotho, Namibia and Botswana). The funds will therefore only be available for investment after the clearing period has lapsed.

Lump sum payments should be made to:

Satrix Nominees (Pty) Ltd
Bank: ABSA Bank
Branch: Investor Services

FICA Documentation Checklist

Due Diligence Requirements – New Applicants must send the following applicable FICA documents

Together with a fully completed New Business Application form.

Additional or updated documentation may be requested in certain circumstances.

Please ensure that the information provided on the FICA documentation is clear and certified if a copy.

All FICA documents must be less than 3 months old except for income tax forms / property insurance policy schedules.

If a Financial Service Provider (FSP) / Representative is utilised, a certified copy of the FSP license is required.

Individual	Trust
<p style="text-align: center;">Identification</p> <p>Clear copy of one of the following:</p> <ul style="list-style-type: none"> • Current valid passport • National identity card or document • Armed Forces identity card <p style="text-align: center;">Confirmation of address</p> <p>Clear copy of one of the following, confirming Name and Address:</p> <ul style="list-style-type: none"> • A utility bill • A council tax bill / assessment • An income tax form / extract • A property insurance policy schedule • A most recent lease / rental agreement • Affidavit (NB: this must be declared by the investor and not a third party). <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Proof of South African Banking details – either: <ul style="list-style-type: none"> - Cancelled Cheque or Bank Statement (no internet or credit card statement accepted) - A letter from Bank confirming banking details • Proof of Income Tax Number 	<p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • Trustees – as per individual requirements, or company requirements for corporate trustees • Extract of Trust Deed pages showing name of Trust, parties to the Trust and signature pages • Letter of Authority from Master (SA Trust) or Foreign Regulator (Foreign Trusts) to Trustees <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • Trust – as per individual requirements • Trustees – as per individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Authorised signatory list including specimen signatures • Proof of Trust banking details – as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number
Investing in Name of Minor	Investor Clubs and Stokvels
<ul style="list-style-type: none"> • Minor – Certified copy of birth certificate • Legal Guardian – as per individual requirements • 	<ul style="list-style-type: none"> • Copy of constitution / founding document • Copy of register of participants • Letter electing and authorising person to act on behalf of the club / stokvels • Representative – as per individual requirements • Administrator reserves the right to request FICA documents for all participants <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Authorised signatory list including specimen signatures • Proof of Club and Stokvel banking details – as per individual requirements
Company	Partnership
<p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • Certificate of Incorporation (CM1, CM22 and CM29) • Board resolution authorising the investment (and / or approval to act as trustee if a corporate trustee) • Directors – as per individual requirements • All shareholders holding 25% or more of voting rights at a general meeting – as per individual requirements <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • Company – CM1, CM22 and CM29 • Directors – as per individual requirements • Name(s) and address(es) of all directors • All shareholders holding 25% or more of voting rights at a general meeting – as per individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Authorised signatory list including specimen signatures • Proof of Banking details – as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number 	<p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • Latest Annual Reports and Accounts • Resolution of the partners to invest • All Partners – as per individual requirements <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • All Partners – as per individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Authorised signatory list including specimen signatures • Proof of Banking details – as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number <p style="text-align: center;">Closed Corporations</p> <p style="text-align: center;">Identification</p> <ul style="list-style-type: none"> • Founding Statement and Certificate of Incorporation • Resolution of the members to invest • Members – as per individual requirements <p style="text-align: center;">Confirmation of address</p> <ul style="list-style-type: none"> • Close Corporation – CK1 and CK2 • Members – as per individual requirements <p style="text-align: center;">Related due diligence</p> <ul style="list-style-type: none"> • Proof of Banking details – as per individual requirements • Proof of VAT registration (if applicable) • Proof of Income Tax Number
<p>Source of funds (the proceeds to be invested need to have been accumulated and invested legitimately):</p>	

- Declaration on the application advising how the funds have legitimately arisen (from which legitimate activity, event or circumstances)